



COPY OF PAPERS
ORIGINALLY FILED

Patent

Attorney's Docket No. 021238-513

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Walter A. NICHOLS et al.) Group Art Unit: 1731
Application No.: 10/078,536) Examiner: Not Yet Assigned
Filed: February 21, 2002) Confirmation No.: 4135
For: CIGARETTE HAVING REDUCED)
SIDESTREAM SMOKE)
)
)

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX: MISSING PART
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.
§ 1.53(b) dated March 8, 2002, enclosed please find:

- ☐ a Combined Declaration and Power of Attorney signed by the inventor(s);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney is different from those listed on the application filing papers.
- ☒ the surcharge of ☐ \$65.00 (205) ☒ \$130.00 (105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ a Request for Refund;
- ☒ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document and a separate check for the \$40.00 (581) Assignment recordation fee;
- ☐ drawings for publication;
- ☒ other Preliminary Amendment;
- ☐ a check in the amount of \$ _____ for the fee due for missing parts; and

(07/02)

Transmittal Letter for Missing Parts of Application

Attorney's Docket No. 021238-513

Application No. 10/078,536

Page 2

☒ charge \$ 130 (missing parts fee), \$288 (16 extra claims), & \$740 (filing fee) to
Deposit Account No. 02-4800 for the fee due for missing parts.

☐ Small entity status is hereby claimed.

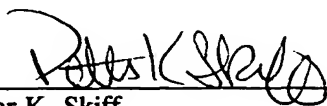
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to
Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

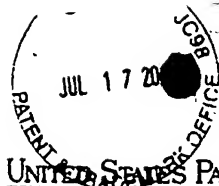
P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By: _____


Peter K. Skiff

Registration No. 31,917

Date: July 17, 2002



COPY OF PAPERS
ORIGINALLY FILED

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/078,536	02/21/2002	Walter A. Nichols	021238-513

CONFIRMATION NO. 4135

FORMALITIES LETTER



OC00000007607350

Peter K. Skiff
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, VA 22313-1404

Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

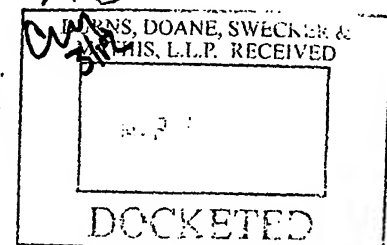
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$960.
 - \$792 for 44 total claims over 20.
 - \$168 for 2 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1830.

A copy of this notice MUST be returned with the reply. Philip Morris, Inc.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Basic Filing, Claims fee

due 5/8/02

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/078,536	02/21/2002	Walter A. Nichols	021238-513

CONFIRMATION NO. 4135

FORMALITIES LETTER



0C000000007607350

 Peter K. Skiff
 BURNS, DOANE, SWECKER & MATHIS, L.L.P.
 P.O. Box 1404
 Alexandria, VA 22313-1404

Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2002 MTEKLEMI 00000004 024800 10078536

01 FC:103 288.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$960.
 - \$792 for 44 total claims over 20.
 - \$168 for 2 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1830.

07/22/2002 BABRAHA1 00000005 024800 10078536

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	792.00 CH
04 FC:102	168.00 CH

A copy of this notice **MUST** be returned with the reply.
 Adjustment date: 08/20/2002 MTEKLEMI
 07/22/2002 BABRAHA1 00000005 024800 10078536
 03 FC:103 792.00 CR
 04 FC:102 168.00 CR

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE